# 1999 Annual Survey of the Mathematical Sciences 

(First Report)

# Report on the 1999 New Doctoral Recipients Faculty Salary Survey 

Don O. Loftsgaarden, James W. Maxwell, and Kinda M. Remick

## Report on the 1999 New Doctoral Recipients

This report presents a statistical profile of recipients of doctoral degrees awarded by departments in the mathematical sciences at universities in the United States during the period July 1, 1998, through June 30, 1999. It includes a preliminary analysis of the employment market for 1998-99 doctoral recipients and a demographic profile summarizing characteristics of citizenship status, sex, and racial/ethnic group. All information came from the departments that gave the degrees. Table 1 provides the departmental response rates for the 1999 Survey of New Doctoral Recipients. See page 238 for a description of the groups.

Table 1: Response Rates

| Group I | 47 of 48 including 0 with 0 degrees |
| :--- | :--- |
| Group II | 55 of 56 including 4 with 0 degrees |
| Group III | 70 of 73 including 27 with 0 degrees |
| Group IV | 72 of 91 including 6 with 0 degrees |
| Group Va | 17 of 19 including 1 with 0 degrees |
| Group Vb | No longer surveyed |

## Recent Changes in Procedures for the Annual Survey

Data used for the First Report of the Annual Survey is gathered from doctoral-granting departments starting in May each year. Updated information from the individual new doctoral recipients is gathered in the fall each year, and this information is used to update the results from the First Report in the Second Report, which appears in an issue of Notices the following sum-


#### Abstract

This First Report of the 1999 Annual Survey gives information about the employment status of 1998-99 new doctoral recipients from U.S. departments in the mathematical sciences and salary data on faculty members in U.S. departments of mathematical sciences in four-year colleges and universities. The report is based on information collected from a questionnaire distributed in May. A second questionnaire concerned with data on fall 1999 enrollments, majors, and departmental faculty size was distributed in October 1999. A questionnaire was also distributed to the individual new doctoral recipients in October 1999. Results from the second questionnaire will appear in the Second Report of the 1999 Annual Survey in a summer 2000 issue of the Notices. The questionnaire sent to new doctoral recipients will be used to update and revise results in this report, and those results will also appear in the Second Report.

The 1999 Annual Survey represents the forty-third in an annual series begun in 1957 by the American Mathematical Society. The 1999 Survey is under the direction of the Annual Survey Data Committee, a joint committee of the American Mathematical Society, the American Statistical Association, the Institute of Mathematical Statistics, and the Mathematical Association of America. The current members of this committee are Paul W. Davis, Lorraine Denby, Malay Ghosh, Mary W. Gray, Alfred W. Hales, James Kister, James Lewis, Don O. Loftsgaarden (chair), James W. Maxwell (ex officio), Yashaswini Mittal, and Ann E. Watkins. The committee is assisted by AMS survey analyst Kinda Remick and survey coordinator Colleen Rose. Comments or suggestions regarding this Survey Report may be directed to the Committee.


mer. For the 1996 Annual Survey and earlier surveys, data from the individual new doctoral recipients was gathered earlier, and early responses were used in the First Report. This means that results in First Reports after 1996 are not strictly comparable with those in earlier reports.

Definitions of the groups surveyed in these Annual Surveys are on page 238 of this report. Prior to 1999, Group V was comprised of Groups Va and Vb, with Group Va containing Applied Mathematics/Applied Science doctoral departments and Vb containing Operations Research/Management Science doctoral depart-

## Highlights

Based on responses from departments alone, the preliminary unemployment rate for the 1,133 new doctoral recipients from 1998-99 is $6.2 \%$. If past history holds true, when this figure is revised using information from the individual doctoral recipients, it will likely be less than $4 \%$. The fall 1998 unemployment rate, with degrees from Vb removed, was $7.6 \%$.

Of the new doctoral recipients who have jobs, 72 (8.2\%) have positions in the institution from which they received their degrees, though not necessarily in the same department, and 21 (2.4\%) have part-time jobs.

Of those doctoral recipients employed in the U.S., 160 (21.1\%) have jobs in business and industry, down from 219 (28.7\%) in 1997-98. This is a complete reversal in what has been an increasing trend for the past several years. The number of new doctoral recipients employed in U.S. academic positions increased by 63 (12.6\%) over 1997-98. The increase of 63 new doctoral recipients taking academic positions is very close to the decrease of 59 new doctoral recipients taking jobs in business and industry.

Females account for $28.1 \%$ of the 1,133 new doctoral recipients, up from 24.3\% in 1997-98. Of the 554 U.S. citizen new doctoral recipients, $33.8 \%$ are females, up from $27.6 \%$ in 1997-98. The number of female U.S. citizen new doctoral recipients increased from 156 in 1997-98 to 187 in 1998-99, while the total number of new doctorates in 1998-99 is down 30 from 1997-98. The 1998-99 numbers for females are all record highs.

Of the 1,133 new doctoral recipients in 1998-99, 48.9\% are U.S. citizens, up slightly from $48.6 \%$ in 1997-98. This is the highest percentage of U.S. citizens since 1987.

Among U.S. citizen new doctoral recipients, the number of Black or African Americans and Hispanic or Latinos remained nearly the same as in 1997-98, with 12 in each group in 1998-99. The largest minority group was Asians with 18 . Whites accounted for 506 ( $91.3 \%$ ) of U.S. citizen degrees.

Among new doctoral recipients hired in U.S. doctoral-granting departments, $49.8 \%$ were U.S. citizens. For other U.S. academic positions, $69.3 \%$ of the new doctoral recipients hired were U.S. citizens.

In 1999, 9 new statistics departments were added to Group IV, doctoral-granting statistics departments, to make this group more complete.

## Note:

Whenever comparisons are made between 1997-98 and 1998-99 numbers, the 1997-98 numbers have been adjusted by removing Vb doctoral recipients from the numbers given in the 1997-98 First Report. This is done because Group Vb departments are no longer a part of the Annual Survey beginning with the 1998-99 Annual Survey. This means that many of the 1997-98 numbers used in comparisons in this report will not coincide exactly with those in the 1997-98 First Report published last year.

## Doctoral Degrees Granted

Table 2 shows the number of new doctoral degrees granted by the different doctoral groups surveyed in the Annual Survey for the past five years. Since Group Vb has been dropped from the Annual Survey beginning in 1998-99, doctorates reported by Vb departments in earlier years are not shown in Table 2. The 1,133 new doctorates granted by these departments in 1998-99 is a decrease of 30 compared to 1997-98. Groups I (Public-Pu), I (Private-Pr), II, and Va showed decreases, while Group III increased by 7 and Group IV increased by 30 compared to 1997-98. The increase of 30 in Group IV is explained at least in part by the addition of nine new statistics departments to this group for the 1998-99 survey. The names of the 1,133 new doctoral recipients are found on pages 253-71 of this issue of the Notices. The numbers in Table 2 will be broken down in various ways, such as by gender, in later sections of this report.

## Table 2: New Doctoral Degrees Awarded by Groups I-Va, Fall Count

ments. Response rates for Vb departments have always been very poor, and many of the departments are inherently quite different from the other departments included in the Annual Surveys. Beginning with the 1999 Survey, the Annual Survey Data Committee decided to no longer survey Group Vb. Hence Group V now contains only Group Va, Applied Mathematics/Applied Science departments. The average number of doctoral degrees reported by responding Group Vb departments in 1995, 1996, 1997, and 1998 was 55 . This change means the number of doctoral degrees in the 1999 First Report is not strictly comparable to those of earlier First Reports.

| Group | I (Pu) | I (Pr) | II | III | IV | Va | Total* |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $1994-95$ | 458 |  | 205 | 220 | 195 | 70 | 1148 |
| $1995-96$ | 325 | 174 | 222 | 124 | 172 | 81 | 1098 |
| $1996-97$ | 297 | 187 | 238 | 132 | 197 | 72 | 1123 |
| $1997-98$ | 306 | 174 | 264 | 129 | 213 | 77 | 1163 |
| $1998-99$ | 292 | 152 | 241 | 136 | 243 | 69 | 1133 |

*Does not include Vb. See "Recent Changes in Procedures" on page 231.

Don O. Loftsgaarden is professor emeritus of mathematics at the University of Montana. James W. Maxwell is AMS associate executive director for Professional Programs and Services. Kinda M. Remick is AMS survey analyst.

Table 3A: Employment Status of 1998-99 U.S. New Doctoral Recipients in the Mathematical Sciences by Field of Thesis

| TYPE OF EMPLOYER |  | FIELD OF THESIS |  |  |  |  |  |  |  |  |  |  |  | TOTAL |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Algebra Number Theory | Real, Comp Funct., \& Harmonic Analysis | Geometry/ Topology | Discr. Math./ Combin./ Logic/ Comp. Sci. | Probability | Statistics | Applied Math. | Numerical Analysis/ Approximations |  | Differential, Integral, \& Difference Equations | Math. Education | Other/ Unknown |  |
| Group I (Public) |  | 22 | 8 | 21 | 9 | 3 | 0 | 4 | 5 | 1 | 9 | 0 | 0 | 82 |
| Group I (Private) |  | 11 | 7 | 14 | 2 | 2 | 1 | 7 | 3 | 0 | 7 | 0 | 0 | 54 |
| Group II |  | 15 | 10 | 10 | 3 | 2 | 0 | 5 | 6 | 1 | 5 | 1 | 0 | 58 |
| Group III |  | 5 | 3 | 3 | 2 | 0 | 7 | 1 | 0 | 2 | 3 | 1 | 0 | 27 |
| Group IV |  | 1 | 0 | 0 | 0 | 1 | 45 | 0 | 0 | 0 | 2 | 0 | 0 | 49 |
| Group Va |  | 0 | 0 | 0 | 1 | 1 | 2 | 5 | 5 | 1 | 2 | 0 | 0 | 17 |
|  |  | 13 | 10 | 7 | 5 | 0 | 6 | 1 | 2 | 1 | 2 | 2 | 0 | 49 |
| Bachelor's |  | 28 | 17 | 20 | 20 | 3 | 8 | 6 | 9 | 1 | 11 | 2 | 1 | 126 |
| Two-Year College |  | 3 | 2 | 0 | 2 | 1 | 1 | 0 | 2 | 1 | 3 | 0 | 0 | 15 |
| Other Academic Dept. |  | 2 | 1 | 0 | 7 | 3 | 14 | 12 | 4 | 4 | 4 | 5 | 0 | 56 |
| Research Institute/ Other Nonprofit |  | 5 0 | 1 | 0 | 0 | 2 | 12 8 | 2 5 | 4 | 0 | 5 | 0 | 0 | 31 35 |
| Business and Industry |  | 8 | 7 6 | 2 | 11 | 5 | 8 63 | 5 18 | 5 17 | 0 6 | 1 13 | 0 | 0 1 | 35 160 |
| Non-U.S. Academic Non-U.S. Nonacademic |  | 19 | 13 | 13 | 10 | 4 | 18 | 7 | 5 | 1 | 11 | 0 | 0 | 101 |
|  |  | 1 | 0 | 1 | 0 | 4 | 5 | 2 | 1 | 0 | 3 | 0 | 0 | 17 |
| Not Seeking Employment |  | 3 | 2 | 3 | 1 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 11 |
| Still Seeking Employment |  | 13 | 8 | 6 | 3 | 4 | 10 | 9 | 1 | 0 | 5 | 0 | 0 | 59 |
| Unknown (U.S.) |  | 16 | 5 | 14 | 10 | 9 | 45 | 11 | 7 | 4 | 8 | 3 | 0 | 132 |
| Unknown (non-U.S.)* |  | 4 | 5 | 6 | 3 | 1 | 23 | 5 | 3 | 0 | 3 | 0 | 1 | 54 |
| Column Total |  | 169 | 105 | 132 | 90 | 51 | 269 | 100 | 79 | 23 | 98 | 14 | 3 | 1133 |
| Column <br> Subtotals | Male | 123 | 81 | 99 | 67 | 34 | 173 | 70 | 59 | 18 | 80 | 9 | 2 | 815 |
|  | Female | 46 | 24 | 33 | 23 | 17 | 96 | 30 | 20 | 5 | 18 | 5 | 1 | 318 |

*Includes those whose status is reported as "unknown" or "still seeking employment".

Table 3B: Employment Status of 1998-99 U.S. New Doctoral Recipients in the Mathematical Sciences by Type of Degree-Granting Department

| TYPE OF EMPLOYER |  | TYPE OF DOCTORAL DEGREE-GRANTING DEPARTMENT |  |  |  |  |  | $\begin{gathered} \text { ROW } \\ \text { TOTAL } \end{gathered}$ | ROW SUBTOTAL |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Group I (Public) Math | Group I (Private) Math | Group II Math | Group III Math | Group IV Statistics | Group Va Applied Math |  |  |  |
|  |  | 45 | 23 | 10 | 2 | 0 | 2 | 82 | 60 | 22 |
| Group I (Pr |  | 17 | 28 | 3 | 1 | 1 | 4 | 54 | 43 | 11 |
| Group II |  | 23 | 6 | 23 | 3 | 1 | 2 | 58 | 42 | 16 |
| Group III |  | 8 | 0 | 4 | 10 | 5 | 0 | 27 | 19 | 8 |
| Group IV |  | 1 |  | 0 | 2 | 43 | 2 | 49 | 29 | 20 |
| Group Va |  | 2 | 2 | 1 | 0 | 1 | 11 | 17 | 14 | 3 |
| Master's |  | 13 | 3 | 19 | 11 | 3 | 0 | 49 | 36 | 13 |
| Bachelor's |  | 31 | 12 | 48 | 25 | 6 | 4 | 126 | 83 | 43 |
| Two-Year College |  | 4 | 1 | 6 | 3 | 0 | , | 15 | 12 | 3 |
| Other Academic Dept. |  | 7 | 5 | 14 | 12 | 11 | 7 | 56 | 39 | 17 |
| Research Institute/ Other Nonprofit Government Business and Industry |  | 6 | 6 | 2 | 1 | 11 | 5 | 31 | 22 | 9 |
|  |  | 5 | 1 | 12 | 4 | 10 | 3 | 35 | 23 | 12 |
|  |  | 28 | 19 | 23 | 19 | 57 | 14 | 160 | 122 | 38 |
| Non-U.S. Academic Non-U.S. Nonacademic |  | 38 | 19 | 17 | 2 | 19 | 6 | 101 | 78 | 23 |
|  |  | 4 | 2 | 3 | 1 | 7 | 0 | 17 | 14 | 3 |
| Not Seeking Employment Still Seeking Employment Unknown (U.S.) Unknown (non-U.S.)* |  | 0 | 4 | 2 | 3 | 1 | 1 | 11 | 6 | 5 |
|  |  | 19 | 5 | 14 | 7 | 9 | 5 | 59 | 42 | 17 |
|  |  | 25 | 12 | 29 | 25 | 39 | 2 | 132 | 87 | 45 |
|  |  | 16 | 3 | 11 | 5 | 19 | 0 | 54 | 44 | 10 |
| Column Total |  | 292 | 152 | 241 | 136 | 243 | 69 | 1133 | 815 | 318 |
| Column <br> Subtotals | Male | 214 | 114 | 175 | 103 | 156 | 53 | 815 |  |  |
|  | Female | 78 | 38 | 66 | 33 | 87 | 16 | 318 |  |  |

*Includes those whose status is reported as "unknown" or "still seeking employment".

Table 3C: 1998-99 New Doctoral Recipients: Field of Thesis by Type of Degree-Granting Department

| TYPE OF DOCTORAL DEGREEGRANTING DEPARTMENT | FIELD OF THESIS |  |  |  |  |  |  |  |  |  |  |  | TOTAL |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Algebra Number Theory | Real, Comp., Funct., \& Harmonic Analysis | Geometry/ Topology | Discr. Math./ Combin./ Logic/ Comp. Sci | Probability | Statistics | Applied Math. | Numerical Analysis/ Approxi- mations mation |  | Differential, Integral, \& Difference Equations | Math. Education | Other/ Unknown |  |
| Group I (Public) | 69 | 41 | 52 | 31 | 13 | 6 | 19 | 24 | 4 | 31 | 0 | 2 | 292 |
| Group I (Private) | 41 | 18 | 33 | 14 | 7 | 5 | 10 | 11 | 0 | 13 | 0 | 0 | 152 |
| Group II | 43 | 34 | 41 | 21 | 13 | 6 | 20 | 21 | 10 | 30 | 1 | 1 | 241 |
| Group III | 16 | 12 | 6 | 17 | 5 | 17 | 18 | 12 | 5 | 15 | 13 | 0 | 136 |
| Group IV | 0 | 0 | 0 | 1 | 9 | 231 | 2 | 0 | 0 | 0 | 0 | 0 | 243 |
| Group Va | 0 | 0 | 0 | 6 | 4 | 4 | 31 | 11 | 4 | 9 | 0 | 0 | 69 |
| Total | 169 | 105 | 132 | 90 | 51 | 269 | 100 | 79 | 23 | 98 | 14 | 3 | 1133 |

Employment Status of U.S. New Doctoral Recipients, 1998-99
Table 3A gives a cross-tabulation of the 1,133 new doctoral recipients in the mathematical sciences: Type of Employer by Field of Thesis. Table 3B gives a cross-tabulation of the same data: Type of Employer by Type of DegreeGranting Department (Group). Table 3C gives a cross-tabulation of these same data: Type of De-gree-Granting Department (Group) by Field of Thesis. This table gives a picture of the type of doctoral students being trained in the various groups. These tables contain a wealth of information about the employment of these new doctoral recipients, some of which will be discussed in this report. Keep in mind the results in this report come from the departments giving the degrees and not from the recipients themselves.

The last column (Total) in Table 3A can be used to find the overall unemployment rate. In this and other unemployment calculations in this report, the individuals whose employment status is not known (Unknown (U.S.) and Unknown (non-U.S.)) are first removed, and the unemployment fraction is the number still seeking employment divided by the total number of in-

Table/Graph 4A: Percentage of New Doctoral Recipients Unemployed (as reported in the respective Annual Survey Reports 1991-99)

| Report | Fall | Final |
| :--- | ---: | ---: |
| $1990-91$ | 12.4 | 5.0 |
| $1991-92$ | 12.7 | 6.7 |
| $1992-93$ | 12.4 | 8.9 |
| $1993-94$ | 14.2 | 10.7 |
| $1994-95$ | 14.7 | 10.7 |
| $1995-96$ | 9.4 | 8.1 |
| $1996-97$ | 6.8 | 3.8 |
| $1997-98$ | 7.2 | 4.9 |
| $1998-99$ | 6.2 | $*$ |

*To appear in the Second Report. Caution: See "Recent Changes in Procedures" on page 231. The fall unemployment rate for 199798 excluding Group Vb was $7.6 \%$.

dividuals left after the "Unknowns" are removed. The overall unemployment rate for these data is $6.2 \%$. The analogous figure for fall 1998, with Vb doctoral recipients removed, is $7.6 \%$. This figure will be updated with information gathered from the individual new doctoral recipients in the Second Report of the Annual Survey in a summer 2000 issue of the Notices. Table/Graph 4A shows how this employment rate compares with other years in the 1990s. Table/Graph 4E gives the unemployment rate for each of the doctoral-granting groups for fall 1998 and fall 1999.

There are 759 new doctoral recipients employed in the U.S. Of these, 564 ( $74.3 \%$ ) hold academic positions, 35 (4.6\%) are employed by government, and 160 (21.1\%) hold positions in business and industry. In the First Report for fall 1998, with the Group Vb degree recipients removed, there were 763 new doctoral recipients employed in the U.S., of which 501 (65.7\%) held academic positions, 43 ( $5.6 \%$ ) were in government, and 219 (28.7\%) were in business and industry.

Table 4B: Number of New Doctoral Recipients Taking Positions in Business and Industry by Type of Degree-Granting Department, Fall 1998 and Fall 1999

| Group | I (Pu) | I (Pr) | II | III | IV | Va | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Fall 1998 | 29 | 27 | 41 | 27 | 70 | 25 | 219 |
| Fall 1999 | 28 | 19 | 23 | 19 | 57 | 14 | 160 |

The number of new doctoral mathematicians taking jobs in business and industry has been rising for several years, so the drop from 219 in fall 1998 to 160 in fall 1999 represents a big reversal. Table 4B shows the number of new doctoral recipients who took positions in business and industry by the type of department granting their degree for fall 1998 and fall 1999. The number of new doctoral recipients taking jobs in business and industry is down in fall 1999 compared to fall 1998 for every type of doc-
toral-granting department. The number in Group IV, statistics departments, is down by 13 even though the number of new doctoral recipients in Group IV is up by 30.

Table 4C shows the number of new doctoral recipients who took academic positions in the U.S. by type of department granting their degree for fall 1998 and fall 1999. We see that the increase in number of new doctoral recipients taking U.S. academic jobs is almost the same as the decrease in those taking jobs in business and industry.

## Table 4C: Number of New Doctoral Recipients Taking U.S. Academic Positions by Type of Degree-Granting <br> Department, Fall 1998 and Fall 1999

| Group | I (Pu) | I (Pr) | II | III | IV | Va | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Fall 1998 | 117 | 97 | 122 | 49 | 84 | 32 | 501 |
| Fall 1999 | 157 | 87 | 130 | 70 | 82 | 38 | 564 |

Table 4C shows where the new doctoral recipients came from to fill the U.S. academic positions. Table 4D shows how many positions were filled with new doctoral recipients for each type of academic employer.

Table 4D: U.S. Academic Positions Filled by New Doctoral Recipients by Type of Hiring Department,
Fall 1998 and Fall 1999

| Group | I-III | IV | Va | M\&B | Other | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Fall 1998 | 177 | 35 | 7 | 177 | 105 | 501 |
| Fall 1999 | 221 | 49 | 17 | 175 | 102 | 564 |

In 1999, 72 new doctoral recipients hold positions in the institution that granted their de-
gree, although not necessarily in the same department. This represents $8.2 \%$ of new doctoral recipients who are currently employed and 12.8\% of the U.S. academic positions held by new doctorates. In 1998 there were 58 such individuals making up 6.5\% of the new doctorates who were employed at the time of the First Report. Twentyone new doctoral recipients have taken part-time positions in 1999.

Table/Graph 4E: Percentage of Unemployed New Doctoral Recipients by Type of Degree-Granting Department, Fall 1998 and Fall 1999

| $\%$ | $\mathrm{I}(\mathrm{Pu})$ | $\mathrm{I}(\mathrm{Pr})$ | II | III | IV | Va |
| :---: | :---: | :---: | ---: | ---: | :---: | :---: |
| Fall 1998 | 7.6 | 5.0 | 11.0 | 15.1 | 3.2 | 2.8 |
| Fall 1999 | 7.6 | 3.6 | 7.0 | 6.6 | 4.9 | 7.5 |



## Information about Females among the New <br> Doctoral Recipients, 1998-99

Tables 3A and 3B give male and female breakdowns of the new doctoral recipients in 1998-99 by Field of Thesis, by Type of Degree-Granting Department, and by Type of Employer.

Overall, 318 (28.1\%) of the 1,133 new doctoral recipients in 1998-99 are females. In 1997-98, 283 (24.3\%) of the new doctoral recipients were females. This percentage varies over the different groups, and these percentages are given in the first row of Table 4G. The percentage is lowest for Group Va, applied mathemat-

Table 4F: Employment Status of 1998-99 U.S. New Doctoral Recipients by Citizenship Status

| TYPE OF EMPLOYER | CITIZENSHIP |  |  |  | TOTAL DOCTORAL RECIPIENTS |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | U.S. CITIZENS | NON-U.S. CITIZENS |  |  |  |
|  |  | Permanent Visa | Temporary Visa | Unknown Visa |  |
| U.S. Employer | 430 | 65 | 243 | 21 | 759 |
| U.S. Academic <br> Groups I, II, III, and Va <br> Group IV <br> Non-Ph.D. Department <br> Research Institute/Other Nonprofit <br> U.S. Nonacademic | $\begin{array}{rr} \hline 335 & \\ 123 \\ 20 \\ & 179 \\ & 13 \\ 95 & \end{array}$ | $\begin{array}{rr} 48 & \\ & 16 \\ 11 \\ & 18 \\ & 3 \\ 17 & \end{array}$ | $\begin{array}{rr} \hline 168 & \\ 93 \\ 15 \\ 46 \\ & 14 \\ 75 & \end{array}$ | $\begin{array}{ll} 13 & \\ & 6 \\ & 3 \\ & 3 \\ & 1 \\ & 8 \end{array}$ | $\begin{array}{r} \hline 564 \\ 238 \\ 49 \\ 246 \\ 195 \end{array}$ |
| Non-U.S. Employer | 19 | 3 | 87 | 9 | 118 |
| Non-U.S. Academic Non-U.S. Nonacademic | $\begin{array}{r} 14 \\ 5 \end{array}$ | $\begin{aligned} & 1 \\ & 2 \end{aligned}$ | $\begin{array}{r} 80 \\ 7 \end{array}$ | $\begin{aligned} & 6 \\ & 3 \end{aligned}$ | $\begin{array}{r} 101 \\ 17 \end{array}$ |
| Not Seeking Employment Still Seeking Employment | $\begin{array}{r} 5 \\ 29 \end{array}$ | $\begin{aligned} & \hline 0 \\ & 2 \end{aligned}$ | $\begin{array}{r} 6 \\ 27 \end{array}$ | $\begin{aligned} & 0 \\ & 1 \end{aligned}$ | $\begin{aligned} & \hline 11 \\ & 59 \end{aligned}$ |
| SUBTOTAL | 483 | 70 | 363 | 31 | 947 |
| Unknown (U.S.) <br> Unknown (non-U.S.)* | $\begin{array}{r} 70 \\ 1 \end{array}$ | $\begin{array}{r} 19 \\ 1 \\ \hline \end{array}$ | $\begin{aligned} & 35 \\ & 40 \end{aligned}$ | $\begin{array}{r} 8 \\ 12 \end{array}$ | $\begin{array}{r} 132 \\ 54 \\ \hline \end{array}$ |
| TOTAL | 554 | 90 | 438 | 51 | 1133 |

[^0]ics departments, at $23.2 \%$, and highest for Group IV, statistics departments, at $35.8 \%$. The second row of Table 4 G gives the percentage of the new doctoral recipients hired who are female for each of the Groups I-Va. In addition, $26.5 \%$ of the new doctoral recipients hired in Group M, master's departments, are female; $34.1 \%$ of the new doctoral recipients hired in Group B, bachelor's departments, are female; and $23.8 \%$ of new doctoral recipients hired in business and industry are female.

Table 4G: Percentage of Female New Doctoral Recipients Produced by and Hired by Doctoral-Granting Groups, 1998-99

| $\%$ | I (Pu) | I (Pr) | II | III | IV | Va | Total |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Produced | 26.7 | 25.0 | 27.4 | 24.3 | 35.8 | 23.2 | 28.1 |
| Hired | 26.8 | 20.4 | 27.6 | 29.6 | 40.8 | 17.6 | 27.9 |

The unemployment rate for all female new doctoral recipients is $6.5 \%$ compared to $6.1 \%$ for males and $6.2 \%$ overall.

By field of thesis the percentage of female new doctoral recipients ranged from a low of 18.4\% in differential, integral, and difference equations to a high of $35.7 \%$ in statistics.

The last section in this First Report gives more information about the female new doctoral recipients who are U.S. citizens.

## Employment Information about New Doctoral Recipients by Citizenship and Type of Employer

Table 4 F shows the pattern of employment within broad job categories broken down by citizenship status of the new doctoral recipients.The citizenship is known for all 1,133 new doctoral recipients in 1998-99.

The unemployment rate for the 554 U.S. citizens is $6.0 \%$ compared to $8.2 \%$ in 1997-98. The
unemployment rate for non-U.S. citizens is 6.5\%. This varies by type of visa. The unemployment rate for non-U.S. citizens with a permanent visa is $2.9 \%$, while that for non-U.S. citizens with a temporary visa is $7.4 \%$.

Among U.S. citizens whose employment status is known, $89.0 \%$ are employed in the U.S. Among non-U.S. citizens with a permanent visa whose employment status is known, 92.9\% have jobs in the U.S., while this percentage for nonU.S. citizens with a temporary visa is $66.9 \%$.

Table 4H: New Doctoral Recipients Having Employment in the U.S by Type of Employer and Citizenship

| Employer | U.S. | Non-U.S. | Total |
| :--- | ---: | :---: | :---: |
| U.S. Academic, Groups I-Va | 143 | 144 | 287 |
| U.S. Academic, Other | 192 | 85 | 277 |
| U.S. Nonacademic | 95 | 100 | 195 |
| Total | 430 | 329 | 759 |

Table 4H is a cross-tabulation of the 759 new doctoral recipients who have employment in the U.S. by citizenship and broad employment categories. It is a condensation of Table 4 F .

Of the 759 new doctoral recipients having jobs in the U.S., $56.7 \%$ are U.S. citizens. Of the 287 new doctoral recipients who took jobs in U.S. doctoral-granting departments, $49.8 \%$ are U.S. citizens. Of the 277 who took other academic positions, $69.3 \%$ are U.S. citizens. Of the 195 who took nonacademic positions, $48.7 \%$ are U.S. citizens.

Of the 430 U.S. citizens employed in the U.S., $33.3 \%$ have jobs in a doctoral-granting department, $44.7 \%$ are in other academic positions, and $22.1 \%$ are in nonacademic positions. For the 329 non-U.S. citizens employed in the U.S., the analogous percentages are $43.8 \%, 25.8 \%$, and 30.4\% respectively.

Table 5: Sex, Race/Ethnicity, and Citizenship Status of 1998-99 U.S. New Doctoral Recipients

| RACIAL/ETHNIC GROUP | MALE |  |  |  |  | FEMALE |  |  |  |  | TOTAL |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\begin{aligned} & \text { U.S. } \\ & \text { CITIZEN } \end{aligned}$ | Permanent Visa | N-U.S. CITIZ Temporary Visa | Unknown Visa | Total Male | $\begin{aligned} & \text { U.S. } \\ & \text { CITIZEN } \end{aligned}$ | Permanent Visa | ON-U.S. CITIZEN Temporary Visa | Unknown Visa | Total Female |  |
| American Indian or Alaska Native | 1 | 1 | 5 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 7 |
| Asian | 12 | 24 | 179 | 26 | 241 | 6 | 13 | 54 | 8 | 81 | 322 |
| Black or African American | 5 | 1 | 5 | 0 | 11 | 7 | 1 | 0 | 0 | 8 | 19 |
| Hispanic or Latino | 8 | 1 | 22 | 2 | 33 | 4 | 2 | 5 | 0 | 11 | 44 |
| Native Hawaiian or Other Pacific Islander | 1 | 2 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 3 |
| White | 336 | 32 | 135 | 11 | 514 | 170 | 11 | 32 | 3 | 216 | 730 |
| Unknown | 4 | 1 | 1 | 0 | 6 | 0 | 1 | 0 | 1 | 2 | 8 |
| TOTAL | 367 | 62 | 347 | 39 | 815 | 187 | 28 | 91 | 12 | 318 | 1133 |

Table 6: U.S. Citizen Doctoral Recipients

|  | Total <br> Doctorates by <br> U.S. Institutions | Total U.S. <br> Citizen Doctoral <br> Recipients | $\%$ |
| :---: | :---: | :---: | :---: |
| $1978-79$ | 806 | 596 | 74 |
| $1979-80$ | 791 | 578 | 73 |
| $1980-81$ | 839 | 567 | 68 |
| $1981-82$ | 798 | 519 | 65 |
| $1982-83$ | 744 | 455 | 61 |
| $1983-84$ | 738 | 433 | 59 |
| $1984-85$ | 726 | 396 | 55 |
| $1985-86$ | 755 | 386 | 51 |
| $1986-87$ | 739 | 362 | 49 |
| $1987-88$ | 798 | 363 | 45 |
| $1988-89$ | 884 | 411 | 46 |
| $1989-90$ | 929 | 401 | 43 |
| $1990-91$ | 1061 | 461 | 43 |
| $1991-92$ | 1016 | 430 | 42 |
| $1992-93$ | 1197 | 526 | 44 |
| $1993-94$ | 1059 | 469 | 44 |
| $1994-95$ | 1207 | 567 | 47 |
| $1995-96$ | 1150 | 493 | 43 |
| $1996-97$ | 1158 | 516 | 45 |
| $1997-98^{*}$ | 1216 | 586 | 48 |
| $1998-99$ | 1133 | 554 | 49 |

*Prior to this year, the counts include new doctoral recipients from Group Vb. The figures for 1997-98 excluding Vb are 1,163 new doctoral recipients, of which 565 are U.S. citizens. In addition, prior to 1982-83, the counts include new doctoral recipients from computer science departments.

Table 7: U.S. Citizen Doctoral Recipients by Sex

|  | Total U.S. <br> Citizen Doctoral <br> Recipients | Male | Female | $\%$ <br> Female |
| :---: | :---: | :---: | :---: | :---: |
| $1978-79$ | 596 | 503 | 93 | 16 |
| $1979-80$ | 578 | 491 | 87 | 15 |
| $1980-81$ | 567 | 465 | 102 | 18 |
| $1981-82$ | 519 | 431 | 88 | 17 |
| $1982-83$ | 455 | 366 | 89 | 20 |
| $1983-84$ | 433 | 346 | 87 | 20 |
| $1984-85$ | 396 | 315 | 81 | 20 |
| $1985-86$ | 386 | 304 | 82 | 21 |
| $1986-87$ | 362 | 289 | 73 | 20 |
| $1987-88$ | 363 | 287 | 76 | 21 |
| $1988-89$ | 411 | 313 | 98 | 24 |
| $1989-90$ | 401 | 312 | 89 | 22 |
| $1990-91$ | 461 | 349 | 112 | 24 |
| $1991-92$ | 430 | 327 | 103 | 24 |
| $1992-93$ | 526 | 381 | 145 | 28 |
| $1993-94$ | 469 | 345 | 124 | 26 |
| $1994-95$ | 567 | 426 | 141 | 25 |
| $1995-96$ | 493 | 377 | 116 | 24 |
| $1996-97$ | 516 | 368 | 148 | 29 |
| $1997-98 *$ | 586 | 423 | 163 | 28 |
| $1998-99$ | 554 | 367 | 187 | 34 |

*Prior to this year, the counts include new doctoral recipients from Group Vb. The figures for 1997-98 excluding Vb are 565 U.S. citizen new doctoral recipients, of which 409 are male and 156 are female. In addition, prior to 1982-83, the counts include new doctoral recipients from computer science departments.

Graph 6A: U.S. Citizen Doctoral Recipients


Graph 6B: U.S. Citizen Doctoral Recipients by Percent


Graph 7: Female U.S. Citizen Doctoral Recipients by Percent


## Sex, Race/Ethnicity, and Citizenship Status of U.S. New Doctoral Recipients, 1998-99

Table 5 presents a breakdown according to sex, racial/ethnic group, and citizenship status of new doctoral recipients. The information reported in this table was obtained in summary form from the departments granting the degrees.

There were 554 (48.9\%) U.S. citizens among the 1,133 new doctoral recipients in 1998-99.

## Definitions of the Groups

As has been the case for a number of years, much of the data in these reports is presented for departments divided into groups according to several characteristics, the principal one being the highest degree offered in the mathematical sciences. Doctoral-granting departments of mathematics are further subdivided according to their ranking of "scholarly quality of program faculty" as reported in the 1995 publication Research-Doctorate Programs in the United States: Continuity and Change. ${ }^{1}$ These rankings update those reported in a previous study published in 1982.2 Consequently, the departments which now comprise Groups I, II, and III differ significantly from those used prior to the 1996 survey.

The subdivision of the Group I institutions into Group I Public and Group I Private was new for the 1996 survey. With the increase in number of the Group I departments from 39 to 48, the Annual Survey Data Committee judged that a further subdivision of public and private would provide more meaningful reporting of the data for these departments.

Brief descriptions of the groupings are as follows:
Group I is composed of 48 departments with scores in the 3.00-5.00 range. Group I Public and Group I Private are Group I departments at public institutions and private institutions respectively.
Group II is composed of 56 departments with scores in the 2.00-2.99 range.
Group III contains the remaining U.S. departments reporting a doctoral program, including a number of departments not included in the 1995 ranking of program faculty.
Group IV contains U.S. departments (or programs) of statistics, biostatistics, and biometrics reporting a doctoral program.
Group V contains U.S. departments (or programs) in applied mathematics/applied science, operations research, and management science which report a doctoral program.
Group Va is applied mathematics/applied science; Group Vb, which is no longer surveyed as of 1998-99, was operations research and management science.
Group M contains U.S. departments granting a master's degree as the highest graduate degree.
Group B contains U.S. departments granting a baccalaureate degree only.
Listings of the actual departments which comprise these groups are available on the AMS Web site at www.ams.org/employment/
${ }^{1}$ Research-Doctorate Programs in the United States: Continuity and Change, edited by Marvin L. Goldberger, Brendan A. Maher, and Pamela Ebert Flattau, National Academy Press, Washington, DC, 1995.
${ }^{2}$ These findings were published in An Assessment of Research-Doctorate Programs in the United States: Mathematical and Physical Sciences, edited by Lyle V. Jones, Gardner Lindzey, and Porter E. Coggeshall, National Academy Press, Washington, DC, 1982. The information on mathematics, statistics, and computer science was presented in digest form in the April 1983 issue of the Notices, pages 257-67, and an analysis of the classifications

This is the highest percentage of U.S. citizens since 1986-87. Table 6 gives the number of new U.S. doctorates and the number of U.S. citizens back to 1978-79. The percentage of U.S. citizens in 1997-98 was $48.6 \%$.

Among U.S. citizens, 18 are Asians (12 male and 6 female), 12 are Blacks or African Americans ( 5 male and 7 female), 12 are Hispanics or Latinos ( 8 male and 4 female), 506 are whites (336 male and 170 female), and 6 are other. Among nonU.S. citizens, there are 304 Asians, 32 Hispanics or Latinos, 224 whites, and 19 others.

Females make up $33.8 \%$ of the 554 U.S. citizens receiving doctoral degrees in the mathematical sciences in 1998-99. This compares to $27.6 \%$ in 1997-98. The highest previous percentage of females among U.S. citizen new doctoral recipients was $28.7 \%$ in 1996-97. The 187 female new U.S. citizen doctoral recipients is also the largest number ever recorded. The 187 females is 31 higher than 156 in 1997-98, even though the total number of new doctoral recipients reported in 1998-99 is 30 less than was reported in the 1997-98 First Report. This is a very substantial change. For comparison, among the 579 non-U.S. citizen new doctoral recipients, $131(22.6 \%)$ are females. Among all new doctoral recipients $28.1 \%$ are females.

At the same time, the number of male new U.S. citizen doctorates decreased by 42 from 1997-98. Table 7 gives the historical record of U.S. citizen new doctorates, broken down by male and female for past years, going back to 1978-79.

## Salary Survey for Faculty

The charts on the following pages display faculty salary data for Groups I (Public), I (Private), II, III, IV, Va, M, and B: faculty salary distribution by rank, mean salaries by rank, information on quartiles by rank, and the number of returns for the group. Since groupings used for the mathematics departments in this year's report differ from years prior to 1995-96, comparisons are possible only to the last three years' data. In addition, prior to the 1998 survey, Groups Va and Vb were reported together as Group V. Departments were asked to report for each rank the number of tenured and tenure-track faculty whose 1999-2000 academic-year salaries fell within given salary intervals. Reporting salary data in this fashion eliminates some of the concerns about confidentiality but does not permit determination of actual quartiles. What can be determined is the salary interval in which the quartiles occur; the salary intervals containing the quartiles are denoted by $<\mathrm{n}, \mathrm{n}+5>$.









1999-2000 Academic-Year Salaries (in thousands of dollars)

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The Annual Survey attempts to provide an accurate appraisal and analysis of various aspects of the academic mathematical sciences scene for the use and benefit of the community and for filling the information needs of the professional organizations. Every year, college and university departments in the United States are invited to respond. The Annual Survey relies heavily on the conscientious efforts of the dedicated staff members of these departments for the quality of its information. On behalf of the Annual Survey Data Committee and the Annual Survey staff, we thank the many secretarial and administrative staff members in the mathematical sciences departments for their cooperation and assistance in responding to the survey questionnaires.

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[^0]:    *Includes those whose status is reported as "unknown or "still seeking employment".

