# 1989 Annual AMS-MAA Survey (Second Report) 

Enrollments, Faculty Characteristics, and Update on New Doctorates, Fall 1989<br>Edward A. Connors

## Highlights

1. The final (spring) count of new doctorates in the mathematical sciences records 419 U.S. citizens among the 919 recipients of doctorates granted by U.S. institutions from July 1, 1988 through June 30, 1989. These U.S. citizens account for only $46 \%$ of the new mathematical sciences doctorates awarded by U.S. institutions.
2. The number of U.S. citizens receiving doctorates in 1988-1989 is less than $60 \%$ of the comparable number for a range of years in the mid-1970s.
3. Women received $24 \%$ of the doctorates in mathematical sciences awarded to U.S. citizens. This is the largest percentage ever and a significant increase over the 20$21 \%$ awarded in the last six years. The 100 doctorates awarded to women U.S. citizens in 1988-1989 is exceeded only by the 102 awarded in 1980-1981.
4. Slightly less than $1.5 \%$ of the fall 1988 full-time faculty in the mathematical sciences, Groups I, II and III combined, retired or died by the fall of

[^0]1989. (See box on this page for descriptions of the groupings used in this Survey.) Slightly less than $1.75 \%$ of the fall 1988 full-time faculty in Groups $M$ and B retired or died by fall 1989.
5. One-third of the current full-time faculty at departments that responded to the Survey will have died or reached age 65 by the year 2005 .
6. A substantial portion of the upper division (junior/senior) mathematics majors are women: $46 \%$ in each of Groups $M$ and B, 39\% in Groups I, II and III combined.

Groups I and II include the leading departments of mathematics in the U.S. according to the 1982 assessment of Research-Doctorate Programs conducted by the Conference Board of Associated Research Councils in which departments were rated according to the quality of their graduate faculty. ${ }^{1}$
Group $I$ is composed of 39 departments with scores in the 3.0-5.0 range.
Group II is composed of 43 departments with scores in the 2.0 2.9 range.

Group III contains the remaining U.S. departments reporting a doctoral program.
Group IV contains U.S. departments (or programs) of statistics, biostatistics and biometrics reporting a doctoral program.
Group V contains U.S. departments (or programs) in applied mathematics/applied science, operations research and management science which report a doctoral program.
Group Va is applied mathematics/applied science; Group Vb is operations research and management science.
Group VI contains doctorate-granting departments (or programs) in the mathematical sciences in Canadian universities.
Group Mi contains U.S. departments granting a master's degree as the highest graduate degree.
Group B contains U.S. departments granting a baccalaureate degree only.
${ }^{1}$ These findings were published in An Assessment of Research-Doctorate Programs in the United States: Mathematical and Physical Soiences, edited by Lyle V. Jones, Gardner Lindzey, and Porter E. Coggeshall, National Academy Press, Washington, D.C., 1982. The information on mathematics, statistics and computer science was presented in digest form in the April 1983 issue of Notices, pages 257-267, and an analysis of the above classifications was given in the June 1983 Notices, pages 392-393. For a listing of departments in Groups | and II see April 1988 Notices, pages 532-533.

## I. Introduction

We present several items of general and specific interest to the mathematical community and its observers. Our analysis is based on the data gathered in the 1989 Annual AMS-MAA Survey. As is customary we begin with an update on the employment status of the 1988-1989 class of new doctorates in the mathematical sciences. We then turn our attention to some of the information obtained from the departmental responses to the Departmental Profile Survey, and to the distribution of faculty by age section of the Faculty Status Survey. The data on faculty age is a new feature which we intend to repeat on a regular basis, perhaps biennially. We have discontinued our collection and analysis of faculty flow and mobility data (to the delight, undoubtedly, of numerous departmental assistants, heads, chairs, and, to be sure, of this author).

As in last year's report, we choose not to extrapolate from the raw data and thus we do not provide estimates of various faculty populations or course enrollments. Instead, we focus on faculty retirement and death rates (Table 3A), faculty composition by sex (Tables 3B and 3C), percentage change in faculty composition (Tables 3 D and 3 E ), faculty age distribution (Table 3 F and Figures 1-6), percentage changes in enrollments from fall 1988 to fall 1989 (Tables 4A and 6), undergraduate enrollment distribution patterns (Table 4B), percentages of women among junior/senior mathematics majors and graduate students (Tables 5 and 7), and percentages of U.S. citizens among graduate students (Table 8). The 1990 Survey of the Conference Board on the Mathematical Sciences (CBMS) will provide hard estimates of various faculty populations and course enrollments in the mathematical sciences, based on data gathered for fall 1990.

Finally we direct your attention to the information on response rates at the end of this report, and to the bibliography, which is a comprehensive and current compendium of references on data sources and recent reports in the mathematical sciences, science and engineering.

## III. Update on the 1988-1989 New Doctorates

In the First Report of this Survey (November 1989 issue of Notices, pages 1155-1168) we reported a fall count of 904 new doctorates in the mathematical sciences granted by U.S. universities (since then increased to 905 because of a late departmental correction). We now update the fall counts to produce the 1988-1989 spring counts: 919 doctorates in the mathematical sciences awarded by U.S. institutions, and 62 awarded by Canadian institutions. Fall and spring counts for the last five years are given in Table 1.

Of the 919 doctorates awarded by U.S. universities, citizenship status was reported as known for 896,419 of whom were U.S. citizens ( 319 men and 100 women). The 62 Canadian doctorates went to 54 men and 8 women.

TABLE 1: New Doctorates, Fall and Spring Counts

|  | 84-85 <br> Fali/Spring |  | $\begin{gathered} \text { 85-86 } \\ \text { Fall/ Spring } \end{gathered}$ |  | $\begin{gathered} \text { 86-87 } \\ \text { Fall/ Spring } \end{gathered}$ |  | 87-88 <br> Fall/Spring |  | $\begin{gathered} 88-89 \\ \text { Fall/Spring } \end{gathered}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| U.S. | 732 | 765 | 756 | 782 | 779 | 808 | 804 | 828 | 905* | 919 |
| Canada | 37 | 42 | 45 | 45 | 66 | 66 | 52 | 55 | 53 | 62 |
| Total | 769 | 807 | 801 | 827 | 845 | 874 | 856 | 883 | 958* | 981 |

*Increased from the fall count reported in the November 1989 Notices.

Employment data for new doctorates are updated in Tables 2A, 2B and 2C. We do not, however, include the additional new doctorates in our updated employment matrices. $18 \%$ of the new doctorates reported taking foreign academic or nonacademic employment (compared to $20 \%$ for 1987-1988 doctorates). By spring $19907 \%$ of new doctorates were either not seeking employment, were not yet employed, or their status was unknown (compared with $5 \%$ for 1987-1988 doctorates in the spring of 1989). $11.5 \%$ of the new doctorates were hired by Group B institutions (compared with $8 \%$ for 1987 1988 doctorates, and an average of $8.6 \%$ for the previous six years).

The research fields of the new doctorates continue to have an applied flavor (see Table 2C). For the last seven years half, or nearly half, of the new doctorates specialized in statistics, applied mathematics, operations research or computer science. $27 \%$ of the 1988-1989 new doctorates reported statistics as the field of thesis.

Finally, we note that the names of the 1988-1989 new doctorates and their thesis titles were published in Notices (November 1989 issue and a supplemental list in the May/June 1990 issue).

## III. Faculty Characteristics

Two separate surveys provide the data reported in this section. Tables 3A through 3D are produced by responses to the Departmental Profile Survey conducted in fall 1989; Table 3F and Figures 1-5 are produced by responses to the Faculty Status Survey conducted in spring 1989.

In Table 3A we provide the attrition rates of full-time faculty in the mathematical sciences. The numbers we report are obtained from the departmental response to our request for the number of full-time faculty in fall 1988 as well as the number of these who had permanently retired or died by fall 1989 . Note the differences among Groups I, II and III (comparable rates in last year's report were $1.26 \%, 1.65 \%$ and $1.76 \%$ respectively). Groups I, II and III combined yield a rate of $1.43 \%$ (compared to $1.57 \%$ last year). The $1.72 \%$ for Groups M and B combined is the same as last year's rate.

In last year's report we promised to "ascertain more information on age distribution of full-time faculty and thus better analyze the greying of the contemporary mathematical sciences faculty". Our data for 1989 are

TABLE 2A: Employment Status of 1988-1989 New Doctorates


TABLE 2B: Employment Status of 1988-1989 New Doctorates in the Mathematical Sciences

Females Only

|  |  |  | Fema | O |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Type of Employer |  |  |  | \% |  |  |  |  |  | \% | $88^{8}$ |
| Type of Employer | 1 | 3 | 1 | 2 | 1 | 1 |  |  |  |  | 9 10 |
|  | 1 | 3 | 2 | 1 | 1 | 2 |  |  |  |  | 10 7 |
| Group II | 2 |  | 1 |  | 2 |  |  | 1 | 1 |  | 7 |
| Group III | 2 |  |  |  | 8 |  |  |  |  |  | 8 |
| Group IV |  |  |  |  | 1 | 2 |  |  |  | 1 | 4 |
| Group V |  |  |  |  |  |  |  |  |  |  | 20 |
| Masters | 2 | 5 | 2 | 1 |  | 5 8 | 7 |  |  | 5 | 38 |
| Bachelors | 6 | 3 | 5 |  | 4 | 8 |  |  |  | 5 | 4 |
| Two-year Colleges | 2 | 1 |  |  | 7 | 3 |  | 1 | 2 | 1 | 14 |
| Other Academic Departments |  |  |  |  |  |  |  |  |  |  | 3 |
| Research Institutes |  | 1 |  |  | 1 | 1 |  |  |  |  | 3 |
| Government |  |  | 2 |  | 15 | 1 |  | 1 | 3 |  | 22 |
| Business and Industry |  |  | 2 |  | 15 |  |  |  |  |  | 4 |
| Canada, Academic |  |  | 1 |  | 3 |  |  |  |  |  |  |
| Canada, Nonacademic |  |  | 5 |  | 7 | 4 |  |  |  | 1 | 20 |
| Foreign, Academic |  | 3 | 5 |  | 7 |  |  |  |  |  |  |
| Foreign, Nonacademic |  |  |  |  |  |  |  |  |  |  |  |
| Not seeking employment |  |  | 3 |  | 1 |  |  | 1 |  |  | 8 |
| Not yet employed (Spring 1990) |  | 1 | 1 |  | 1 |  |  | 1 |  | 1 | 2 |
| Unknown |  |  |  |  |  |  |  |  | 6 | 9 | 180 |
| Total | 14 | 20 | 23 | 4 | 64 | 28 | 8 | 4 | 6 | 9 | 180 |

## Table 2C: Fields of New Doctorates

Number (Fall Count)
Specialty:
Applied Math
Statistics
Operations Research
Computer Science
Total

| $1983-1984$ | $1984-1985$ |  |  |
| :--- | ---: | ---: | ---: |
| 789 |  | 769 |  |
| 710 | $(14 \%)$ | 115 | $(15 \%)$ |
| 173 | $(22 \%)$ | 189 | $(25 \%)$ |
| 66 | $(8 \%)$ | 41 | $(5 \%)$ |
| 20 | $(3 \%)$ | 15 | $(2 \%)$ |
| 369 | $(47 \%)$ | 360 | $(47 \%)$ |


| Year Surveyed |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1985-1986801 | 1986-1987 |  | 1987-1988 |  | 1988-1989 |  |
|  |  |  | 85 |  |  |  |
| 149 (19\%) | 142 | (17\%) | 142 | (17\%) | 165 | (17\%) |
| 171 (21\%) | 182 | (22\%) | 173 | (20\%) | 257 | (27\%) |
| 62 (8\%) | 51 | (6\%) | 59 | (7\%) | 34 | (3\%) |
| 16 (2\%) | 18 | (2\%) | 16 | (2\%) | 37 | (4\%) |
| 398 (50\%) | 393 | (47\%) | 393 | (46\%) | 493 | (51\%) |

presented in Table 3F and, in graphical form, in Figures 1-5. Similar data for the years 1975 and 1985 for academic Ph.D. scientists and engineers are presented in Figure 6. See also [27] page 64, Figure 5.9, and page 117, Figure A5.9.

| Table 3A: Faculty Attrition* |  |
| :--- | :---: |
| Group | Full-time Faculty |
|  | $\%$ |
| I | .96 |
| II | 1.40 |
| III | 1.88 |
| I+II+III | 1.43 |
| IV | 1.33 |
| V | .73 |
| M | 1.75 |
| B | 1.69 |
| M+B | 1.72 |

*Percentage of full-time faculty who were in the department in fall 1988 but were reported to have retired or died by fall 1989.

Table 3B: Percentage of Women among Doctoral Full-time Faculty, fall 1989

| I | II | III | I+II+III | IV | V | M | B |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| \% | \% | \% | \% | \% | \% | \% | \% |
| 5.7 | 7.4 | 8.0 | 7.0 | 11.3 | 6.8 | 13.1 | 16.9 |

Table 3C: Percentage of Women among all Full-time Faculty, fall 1989

| I | II | III | $\mathrm{I}+\mathrm{II}+\mathrm{III}$ | IV | V | M | B |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| \% | \% | \% | \% | \% | \% | \% | \% |
| 6.5 | 59.5 | 12.5 | 9.5 | 12.0 | 6.8 | 20.0 | 25.0 |

Our graphs in Figures 1-5 use 10-year intervals based on age 30, but the raw data are in 5 -year spans. Some of the following comments are based on the raw data, which are available for each survey group. Groups I and

V have by far the largest percentage of faculty age 35 or less ( $25 \%$ ). The under-30 cohort accounts for $9 \%$ of the total faculty in Group I, and 6\% in Group V. Group I has the largest percentage of faculty over $60(13 \%)$. In fact, all 5 -year age spans from 35 -on for Group I hover around $13 \%$, with the largest being $14 \%$ in the $45-50$ age group. However, this age group (part of the silent generation) accounts for nearly $20 \%$ of the total faculty in each of the other survey groups and, indeed, in all survey groups combined. Groups I and V have nearly one quarter of their faculty at age 55 . For all groups combined slightly more than one third of the total faculty is age 50 or older and thus will have either died or reached the age of 65 by the year 2005. Likewise, for all groups combined, slightly less than one fifth of the total faculty is age 55 or older.

Table 3D: Percentage Change in Doctoral
Nontenured Faculty, fall 1988 to fall 1989

|  | I | II | III | $\mathrm{I}+\mathrm{II}+\mathrm{III}$ | M | B | M +B |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | \% | \% | \% | \% | \% | \% | \% |
| Male | +16 | +10 | +10 | +12 | +3 | -- | +1 |
| Female | +96 | +15 | +7 | +24 | +17 | +9 | +13 |
| Total | +21 | +11 | +10 | +14 | +5 | +2 | +4 |

Table 3E: Percentage Change in Doctoral Tenured Faculty, fall 1988 to fall 1989

|  | Groups |  |  |  |  |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | I | II | III | $\mathrm{I}+\mathrm{II}+\mathrm{III}$ | M | B | $\mathrm{M}+\mathrm{B}$ |  |  |  |
|  | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ |  |  |  |
| Male | -3 | -- | -7 | -4 | +3 | +3 | +3 |  |  |  |
| Female | -6 | -- | -3 | -4 | +7 | +2 | +4 |  |  |  |
| Total | -3 | -- | -7 | -4 | +3 | +3 | +3 |  |  |  |

In Figure 3 and Table 3 F we provide the age distribution of female faculty in all survey groups combined. Note that only $15 \%$ of the female faculty is age 55 or older and that the age $40-45$ cohort, at $18 \%$ of the total, is the largest (and, in particular, is larger than the 45-50 age group). In Tables 3B and 3C we provide percentages of women among full-time faculty, for doctoral faculty and all faculty respectively.

Table 3F: Age distribution of mathematical sciences faculty, all groups*

|  | Total Faculty |  |  |
| :--- | ---: | :---: | :---: |
|  | $\%$ | Male | Female |
| $\%$ of total males |  |  |  |
| $\%$ | of total fe |  |  |

* The use of 5 -year intervals in this table and 10 -year intervals in Figures 1-5 results in slight differences because of rounding.


Figure 1. Age distribution of mathematical sciences faculty, all groups.


Figure 2. Age distribution of male mathematical sciences faculty all groups.


Figure 3. Age distribution of female mathematical sciences faculty, all groups.


Figure 4. Age distribution of mathematical sciences faculty, Groups I, II, III.


Figure 5. Age distribution of mathematical sciences faculty, Groups $M$ and $B$.


Figure 6. Age of Academic PhD Scientists and Engineers, 1975 and 1985. (Source: Commission on Professionals in Science and Technology Occasional Paper 89.3. Data Source: National Science Foundation)

## IV. Undergraduate Enrollment Profile and Majors

The data in Table 4A are based on fall 1988 and fall 1989 enrollments reported on the 1989 Departmental Profile survey form, which requests two years' data on enrollments and departmental size. In particular, the comparisons in Table 4A are from the same set of respondents and do not use the results of last year's Annual Survey.

Table 4A: Percentage Change in Undergraduate Enrollments, fall 1988 to fall 1989


Table 4B: Undergraduate Enrollments Distribution, fall 1989

|  | Remedial <br> Mathematics | Remedial <br> Mathematics |  |
| :--- | :---: | :---: | :---: |
| Group | Remedial <br> Mathematics* | Pre-calculus | + Pre-calculus |
| I | $\%$ | $\%$ | $\%$ |
| II | 9 | 24 | 60 |
| III | 7 | 28 | 59 |
| I+II+III | 11 | 35 | 58 |
| M | 10 | 31 | 59 |
| B | 15 | 33 | 49 |
| M+B | 19 | 35 | 49 |
|  | 17 | 34 | 49 |
| *Arithmetic, high school algebra, geometry. |  |  |  |

Table 5: Percentage of Women among Junior/Senior Majors (including double majors), fall 1989

| I | II | III | I + II + III | IV | V | M | B |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\%$ | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ |
| 36 | 41 | 42 | 39 | 43 | 28 | 46 | 46 |

## V. Graduate Enrollments in the

 Mathematical Sciences, Sex and CitizenshipThe data in Table 6 are based on fall 1988 and fall 1989 enrollments reported on the 1989 Departmental Profile survey form, which requests two years' data on enrollments and departmental size. In particular, the comparisons in Table 6 are from the same set of respondents and do not use the results of last year's Annual Survey.

We report a large drop of $15 \%$ from fall 1988 to fall 1989 in first-year full-time graduate students in Group I, but a large increase of $21 \%$ in Group III. For Groups I, II and III combined the numbers of full-time graduate students increased by $3 \%$ for first-year students, and $4 \%$ for all years.

Table 6: Graduate Students Percentage change, fall 1988 to fall 1989

|  | Groups |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | I | II | III | I $+\mathrm{II}+\mathrm{III}$ | IV |
|  | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ |
| First year, full-time | -15 | +8.4 | +21 | +3 | -9 |
| All years, full-time | -1 | +8 | +7 | +4 | +1 |

Table 7: Percentage of U.S. Citizen Women among U.S. Citizen Graduate Students, fall 1989

|  | Groups |  |  |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | I | II | III | I+II + III | IV | V | M |  |
|  | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ |  |
| First year, full-time | 23 | 34 | 39 | 32 | 46 | 21 | 46 |  |
| All years, full-time | 22 | 30 | 36 | 29 | 46 | 20 | 45 |  |

Table 8: Citizenship of Graduate Students, fall 1989*

|  | Groups |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  | I | II | III | IV | M |  |
| First year, full-time | $\%$ | $\%$ | $\%$ | $\%$ | $\%$ |  |
| All years, full-time | 53 | 58 | 58 | 56 | 77 |  |
|  | 50 | 53 | 59 | 47 | 74 |  |

[^1]
## USEABLE RESPONSES



## Acknowledgement

The Annual AMS-MAA Survey attempts to provide an accurate appraisal and analysis of various aspects of the academic mathematical scene vital to the entire mathematical community. Yearly, collegiate departments in the United States, and the doctorate-granting departments in Canada, are provided the opportunity to respond. The quantity and quality of the responses directly determine the quality of the information in these reports. Without the dedicated cooperation of the secretarial and administrative support staff in the mathematical science departments we would not be able to conduct a survey, nor be confident in our analysis of its results. We are, unfortunately, unable to thank personally all the departmental assistants for their cooperation, but it is nonetheless appreciated. However, we are able to thank the administrative support staff of the AMS, especially Marcia Almeida, Monica Foulkes, and James W. Maxwell, whose efforts are acknowledged and appreciated.

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[^0]:    A first report of the 1989 Survey appeared in the November 1989 Notices, pages 1155-1188, with corrections in the December 1989 Notices, page 1372. It included a report on the 1988-1989 new doctorates, starting salaries, faculty salaries, and a list of the names and thesis titles of the 1988-1989 doctorates. A supplementary list of 1988-1989 doctorates appeared in the May/June issue of Notices.
    The 1989 Annual AMS-MAA Survey represents the thirty-third in an annual series begun in 1957 by the Society. The 1989 Survey was under the direction of the AMS-MAA Committee on Employment and Educational Policy (CEEP), whose members were: Donna L. Beers, Morton Brown, Stefan A. Burr, Edward A. Connors (chair), Philip C. Curtis, Jr., David J. Lutzer, and James J. Tattersall. The questionnaires were devised by CEEP's Data Subcommittee whose members were: Edward A. Connors (chair), Lincoln K. Durst (consultant), John D. Fulton, James F. Hurley, Charlotte Lin, Don O. Loftsgaarden, David J. Lutzer, James W. Maxwell (ex officio), Donald E. McClure, and Donald C. Rung. As of January 1990 the subcommittee became a standing AMS-MAA committee. Comments or suggestions regarding the Annual Survey may be directed to members of the new AMS-MAA Data Committee.

[^1]:    *Percentage of U.S. citizens among graduate students whose citizenship is reported as known.

